ANNEXURE TO PUBLIC NOTICE NO-37 dated july 27, 2006

- Importer Exporter Code Number (IEC)

GUIDELINES FOR APPLICANTS

A-Applying for new IEC number- Kindly fill Part A, B & D of this application form

1. 1. One copy of the application must be submitted.
2. 2. Each individual page of the application has to be signed by the applicant.
3. 3. Application must be accompanied by documents as per details given below:

   I)   I) Demand Draft of Rs.1000 evidencing payment of application fee in favor of the concerned regional office of DGFT. Money can also be paid through Electronic Fund Transfer (EFT).
   II)  II) Certificate from the Banker of the applicant firm in the specified format
   III) III) Self certified copy of Permanent Account Number (PAN) issued by Income Tax Authorities.
   IV)  IV) Two copies of passport size photographs of the applicant
   V)   V) Photograph on the banker’s certificate should be attested by the banker of the applicant.
   VI)  VI) Self addressed envelope and stamp of Rs.30.
   VII) VII) These documents may be kept secured in a file cover.

The above documents may be sent by post or hand delivered at the concerned regional DGFT office.

B-Applying for modification of existing IEC number- Kindly fill Part A, C & D of this application form

1. 1. Only one copy of the application should be submitted.
2. 2. Each individual page of the application has to be signed by the applicant.
3. 3. Application must be accompanied by documents as per details given below:

A-Documentary Proof :
   a. In case of Proprietorship firms, please furnish
      i. Date of Birth of individual
      ii. Number of IECs held along with their details

   b. In case of Companies, please furnish
      Date of incorporation

   c. In case of others
      Date of formation
B-Demand Draft details evidencing payment of application fee in terms of Appendix 21B. (No fee is payable for modification/amendment if such application is made in 60 days. Otherwise, a fee of Rs.200 may be paid in form of demand draft. No fee is required for inclusion of PAN no. in the old IEC.

4. Original copy of existing IEC.

-  

Part A

APPLICATION FORM FOR ISSUANCE OF IEC NUMBER

To be filled by the Issuing Authority

<table>
<thead>
<tr>
<th>IEC Details--</th>
</tr>
</thead>
<tbody>
<tr>
<td>i. IEC Number</td>
</tr>
<tr>
<td>ii. Date of Issue</td>
</tr>
<tr>
<td>iii. Issuing Authority</td>
</tr>
</tbody>
</table>

To be filled by the applicants


 Un-attested Photograph of the applicant. Identical photograph should be used on the Bank Certificate.

Note: Please state ‘Not Applicable’ wherever the information/data is not applicable to you.

Fields marked * are optional. All others are mandatory

1. Applicant Firm Details

| i. Name |
| ii. Address |
| (Registered Office in case of Companies and Head Office in case of Others) |
| iii. Address of all Branches/Divisions/Units/Factories located in India & abroad (attach extra sheet if required) |
| iv. Telephone* |
| v. Email address (for correspondence with DGFT)* |

2. Details of Proprietor/Partners/Directors/Karta/Trustee of the applicant firm (attach extra sheet if required)

| Total Number of Partners/Directors/Karta/Trustee in the applicant firm |
| Following information may be provided for each Proprietor/Partners/Directors/Karta/Trustee of the applicant firm |
| i. Name |
ii. Father's Name  
iii. Residential Address  
iv. Telephone  

3. Nature of Concern (please tick)  
   - Government Undertaking  
   - Public Limited Company  
   - Private Limited Company  
   - Proprietorship  
   - Partnership  
   - Others  

4. Type of Exporter (please tick)  
   - Merchant Exporter  
   - Manufacturer Exporter  
   - Service Provider  
   - Others (please specify)  
   - Merchant cum Manufacturer  

5. Bank Account Details  
   - Name of the Bank  
   - Address of the Bank  
   - Type of Bank Account  
   - Bank Account Number  
   - Year of opening Bank Account  

6. PAN Details  
   - PAN Number  
   - Issuing Authority  

8. Application Fee Details  
   - Amount (Rs)—Rupees  
   - Demand Draft  
   - Date of Issue  
   - Name of the Bank on which drawn  
   - Bank Branch on which drawn  

Signature of the Applicant  
Name  
Designation  
Official Address  
Telephone  
Residential Address  
Place  
Date
Part B

APPENDIX 18 A

FORMAT OF BANK CERTIFICATE FOR ISSUE OF IEC

(To be issued on the official letter head of the Bank)

Ref No. ...........................

To

..........................................
..........................................
..........................................

(Name and address of the licensing authority)

Sir/ Madam,

We certify that M/s................................................................. (Name and Address of the applicant) are maintaining a  Savings Bank Account / Current Account (tick whichever is applicable) No. ....................... with us since ....................

..........................................

............................................
Affix
Passport
Size
Photograph of the applicant
- 
- 

Note: The Banker must identify and attest the photograph.

<table>
<thead>
<tr>
<th>Date:</th>
<th>Place:</th>
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(Signature of the Banker)
Name ................................
Designation .........................

(Banks Stamp)

Part C
For Modification of Import Export Code Number

IEC Number-

1- Details of Modification

<table>
<thead>
<tr>
<th>SN</th>
<th>Details of Modification required</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Existing details</td>
</tr>
<tr>
<td>Modification -1</td>
<td></td>
</tr>
<tr>
<td>Modification -2</td>
<td></td>
</tr>
<tr>
<td>Modification -3</td>
<td></td>
</tr>
</tbody>
</table>

2. Documents to be submitted in support of the claim

a. In case of Proprietorship firms, please furnish documentary evidence regarding
   i. Date of Birth of individual
   ii. Number of IECs held along with their details

b. In case of Companies, please furnish documentary evidence regarding
PART D

DECLARATION/UNDERTAKING

1. I/We hereby declare that the particulars and the statements made in this application are true and correct to the best of my/our knowledge and belief and nothing has been concealed or held there from.

2. I/We fully understand that any information furnished in the application if found incorrect or false will render me/us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.

3. I/We undertake to abide by the provisions of the Foreign Trade (Development and Regulation) Act, 1992, the Rules and Orders framed there under, the Foreign Trade Policy, the Handbook of Procedures and the ITC(HS) Classification of Export & Import Items.

4. a. I/We hereby certify that the firm/company for whom the application has been made has not been penalized under the Customs Act, Excise Act, Foreign Trade (Development & Regulation) Act 1992 and FERA/FEMA

b. I/We hereby certify that none of the Proprietor/Partner(s)/Director(s)/Karta/Trustee of the firm/company, as the case may be, is/are a Proprietor/Partner(s)/Director(s)/Karta/Trustee in any other firm/Company which(i) has come to the adverse notice of DGFT, (ii). is in the caution list of RBI,

c. I/We hereby certify that neither the Registered Office/Head Office of the firm/company nor any of its Branch Office(s)/Unit(s)/Division(s) has been declared a defaulter and has otherwise been made ineligible for undertaking import/export under any of the provisions of the Policy.

5-NRI interest in the firm-Kindly tick one of the following:

- 
- 
-
(i) There is no non-resident interest in the firm/company and no non resident investment with or without repatriation benefits has been made in the firm/company; or

(ii) There is non-resident interest in the firm/company and non resident investment without repatriation benefits in the firm/company is held with the general/specific permission of RBI; or

(iii) There is non-resident interest in the firm/company and non-resident investment with repatriation benefits in the firm/company is held with the specific permission of RBI.

In case of NRI interest/holding with repatriation benefits in the firm/company, please furnish

| i. RBI Approval Number |
| ii. RBI Approval Date |

6. I/We hereby declare that I/We have not obtained nor applied for issuance of an Importer Exporter Code Number in the name of our Registered/Head Office or any of our Branch(s)/Unit(s)/Division(s) to any other Licensing Authority.

7. I hereby certify that I am authorised to verify and sign this declaration as per Paragraph 9.9 of the Policy.

Signature of the Applicant
Place
Name
Designation
Date
Official Address
Telephone
Residential Address
Email Address